



06-02-03

\$ 599,287.6

Baxter Docket No.: ELM 5712  
Attorney Docket No. 01417Y P 701

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of  
Mase, et al.

Application No. 10/075,153  
Confirmation No.: 6931

Filed: February 14, 2002

For: Coding Symbology and a Method  
for Printing Same

Examiner: K. C. Koyama

Group Art Unit: 2876

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT PURSUANT TO 37 C.F.R. § 1.97(c) AND TRANSMITTAL  
OF APPLICANTS' STATEMENT PURSUANT TO 37 C.F.R. § 1.97(e)**

The Supplemental Information Disclosure Statement transmitted herewith is being filed after the mailing date of the First Office Action on the merits but before the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311. This submission includes Form PTO-1449 and a copy of the references listed thereon.

This submission is not an admission that any enclosed reference anticipates, or that any reference or any combination of references renders obvious, any claim in the above-identified application. Nevertheless, the Examiner is requested to consider carefully the complete disclosures of the enclosed references in connection with the examination of the above-identified application. It is requested that the listed references be made of record and included in the

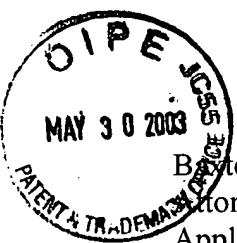
"References Cited" portion of any patent issuing from this Application.

06/03/2003 HGBREH1 00000086 10075153

01 FC:1806

180.00 OP

RECEIVED  
JUN-4 2003  
TECHNOLOGY CENTER 2800



Buyer Docket No.: FLM 5712  
Attorney Docket No. 01417Y P 701  
Application Serial No. 10/075,153  
Page 2

**Statement Pursuant to 37 C.F.R. § 1.97(e)**

I, Stephen Auten, the undersigned and attorney of record hereby state:

that no item of information contained in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Finally, enclosed herewith is Check No. 17283 in the amount of \$180.00 as payment of the fee set forth in 37 C.F.R. § 1.17(p) for submission of this Information Disclosure Statement under 37 C.F.R. § 1.97(c). If any further fees are required, you are authorized to debit Deposit Account No. 23-0280.

Respectfully submitted,

Dated: May 30, 2003

By: 

Stephen R. Auten  
Reg. No. 47,396  
Wallenstein & Wagner, Ltd.  
311 South Wacker Drive, 53rd Floor  
Chicago, Illinois 60606-6630  
312.554.3300

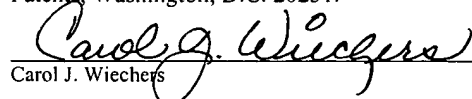
RECEIVED  
JUN 4 2003  
TECHNOLOGY CENTER 2800

**CERTIFICATE OF MAILING (37 C.F.R. § 1.10)**

Express Mail Label No.: EL 954222022 US

Date of Deposit: May 30, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Address" service, postage prepaid, under 37 C.F.R. § 1.10 on the date indicated above and addressed to, Commissioner for Patents, Washington, D.C. 20231.

  
Carol J. Wiechers



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

PTO-1449  
175736

Sheet 1 (175736)

Baxter File No.: FLM 5712  
W&W File No.: 01417Y P701  
Application No.: 10/075,153  
Applicants: Joseph C. Mase, et al  
Filing Date: February 14, 2002  
Art Unit: 2876

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initial | U.S. Patent<br>Document No. | Name of Patentee<br>or Applicant | Class/<br>Subclass | Date of<br>Publication |
|---------------------|-----------------------------|----------------------------------|--------------------|------------------------|
|                     | US 2001/0040127 A1          | Dönig et al                      | B01D<br>61/26      | 11/15/2001             |
|                     | 6,468,424 B1                | Dönig et al                      | B01D<br>61/26      | 10/22/2002             |
|                     |                             |                                  |                    |                        |
|                     |                             |                                  |                    |                        |

**OTHER PRIOR ART OR NON-PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initial | (including Author (in capital letters), Title of the article,<br>Title of the item, Date, Pages, Volume-Issue number, Publisher,<br>City and/or Country where published.) | T |
|---------------------|---|---|
|                     |   |   |
|                     |   |   |

Examiner: \_\_\_\_\_

Date  
Considered: \_\_\_\_\_

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

RECEIVED  
JUN 4 2003  
TECHNOLOGY CENTER 2800